

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		<i>Complete if Known</i>	
		<b>Application Number</b>	09/685,449
		<b>Filing Date</b>	October 11, 2000
		<b>First Named Inventor</b>	Jeff Chin
		<b>Group Art Unit</b>	3696
		<b>Examiner Name</b>	Ella Colbert
Sheet 1 of 3		Attorney Docket No: 2043.166US1	

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
	US-6,032,153	02/29/2000	SADIQ, WAQAR, et al.	01/30/1998
	US-6,285,989	09/04/2001	Shoham, Yoav	08/07/1998
	US-6,332,124	12/18/2001	Loeb, Michael, et al.	07/30/1999
	US-6,484,149	11/19/2002	Jammes, Pierre J, et al.	10/10/1997
	US-6,606,608	08/12/2003	Bezos, Jeffery P.	07/19/1999
	US-6,704,713	03/09/2004	Brett, K. F	06/05/2000
	US-7,020,632	03/28/2006	Kohls, L., et al.	01/11/1999
	US-7,188,080	03/06/2007	Walker, J. S, et al.	06/29/2000
	US-7,188,091	03/06/2007	Huelsman, David L, et al.	03/20/2002
	US-7,249,044	07/24/2007	Kumar, Sanjay, et al.	10/04/2001
	US-7,249,055	07/24/2007	Elder, R.	07/07/2000
	US-7,289,964	10/30/2007	Bowman-Amuah, M. K	08/31/1999
	US-7,505,932	03/17/2009	Kemp, G. A, et al.	02/18/2005
	US-7,509,283	03/24/2009	Friesen, R. W, et al.	05/03/2006
	US-7,558,752	07/07/2009	Ephrati, E., et al.	10/01/1999
	US-7,587,350	09/08/2009	Stewart, Robert S, et al.	07/26/2001

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T <sup>1</sup>
	CA-2277848	10/01/1998	BIGUS, JOSEPH PHILLIP, et al.	
	CA-2349913	02/17/2000	WELLMAN, MICHAEL	
	CA-2377939	12/28/2000	WELLMAN, MICHAEL	

**OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
	""" , Ubid.com; <a href="http://www.ubid.com/category/listing.aspx?catid=0&amp;uwb=uwb63174&amp;AID=10297033&amp;PID=799335">http://www.ubid.com/category/listing.aspx?catid=0&amp;uwb=uwb63174&amp;AID=10297033&amp;PID=799335</a> , (July 11,2000), 1-12 Pages	
	"Application Serial No. 09/441,388, Appeal Brief filed 01-09-06", 21 pgs	
	"Application Serial No. 09/441,388, Examiner's Answer mailed 04-05-06", 10 pgs	
	"Application Serial No. 09/441,388, Final Office Action mailed 06-23-03", 7 pgs	
	"Application Serial No. 09/441,388, Final Office Action mailed 12-31-02", 12 pgs	
	"Application Serial No. 09/441,388, Non Final Office Action mailed 03/19/09", 21 pgs	
	"Application Serial No. 09/441,388, Non Final Office Action mailed 06-05-02", 11 pgs	
	"Application Serial No. 09/441,388, Response filed 03-15-05 to Non Final Office Action mailed 07-14-04", 15 pgs	
	"Application Serial No. 09/441,388, Response filed 05-28-03 to Final Office Action mailed 12-31-02", 7 pgs	

**EXAMINER****DATE CONSIDERED**

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(Use as many sheets as necessary)</p>	<i>Complete if Known</i>	
	<b>Application Number</b>	09/685,449
	<b>Filing Date</b>	October 11, 2000
	<b>First Named Inventor</b>	Jeff Chin
	<b>Group Art Unit</b>	3696
	<b>Examiner Name</b>	Ella Colbert
Sheet 2 of 3	Attorney Docket No: 2043.166US1	

<b>OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS</b>		
Examiner Initials*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
	"Application Serial No. 09/441,388, Response filed 10-07-02 to Non Final Office Action mailed 06-03-02", 7 pgs	
	"Application Serial No. 09/441,388, Response filed 7-20-09 to Non Final Office Action mailed 3-19-09", 21 pgs.	
	"Application Serial No. 09/686,073, Non-Final Office Action mailed 06-30-09", 10 Pgs	
	"Application Serial No. 09/686,073, Response filed 04-06-09 to Restriction Requirement mailed 03-05-09", 7 pgs	
	"Application Serial No. 09/686,073, Response filed 07-06-06 to Final Office Action mailed 02-06-06", 10 pgs	
	"Application Serial No. 09/686,073, Response filed 11-20-08 to Non Final Office Action mailed 10-21-08", 6 pgs	
	"Application Serial No. 09/686,073, Response filed 12-19-03 to Restriction Requirement mailed 10-03-03", 5 pgs	
	"Application Serial No. 09/686,073, Restriction Requirement mailed 10-03-03", 4 pgs	
	"Application Serial No. 10/201,586, Advisory Action mailed 07-06-09", 3 pgs	
	"Application Serial No. 10/201,586, Final Office Action mailed 04-29-09", 5 pgs	
	"Application Serial No. 10/201,586, Non Final Office Action mailed 10/15/09", 5 pgs	
	"Application Serial No. 10/201,586, Pre-Appeal Brief Request for Review mailed 07-29-09", 4 pgs	
	"Application Serial No. 10/201,586, Response filed 01-17-06 to Restriction Requirement mailed 12-15-05", 7 pgs	
	"Application Serial No. 10/201,586, Response filed 02-01-07 to Final Office Action mailed 11-20-06", 12 pgs	
	"Application Serial No. 10/201,586, Response filed 05-15-08 to Final Office Action mailed 04-15-08", 14 pgs	
	"Application Serial No. 10/201,586, Response filed 06-17-09 to Final Office Action mailed 04-29-09", 9 pgs	
	"Application Serial No. 10/201,586, Restriction Requirement mailed 12-15-05", 4 pgs	
	"Application Serial No. 11/027,735, Appeal Brief Filed 10-14-09", 26 pgs	
	"Application Serial No. 11/027,735, Final Office Action mailed 04/14/09", 13 pgs	
	"Application Serial No. 11/027,735, Preliminary Amendment mailed 01-26-05", 4 pgs	
	"Application Serial No. 11/027,735, Preliminary Amendment mailed 12-30-04", 3 pgs	
	"Application Serial No. 11/352,173, Non Final Office Action mailed 05/27/09", 15 pgs	
	"Application Serial No. 11/648,307, Non Final Office Action Mailed 08-20-09", 10 pgs	
	"Application Serial No. 11/648,307, Response filed 4-23-09 to final Office Action mailed 2-23-09", 9 pgs.	
	"Canadian Application Serial No. 2,455,639, Office Action mailed 7/20/09", 5 pgs	
	"Chinese Application Serial No. 02818562.5, Office Action mailed 06/19/09", 5 pgs	
	"European Application Serial No. 02752516.1, Office Action mailed 02/20/09", 3 pgs	
	ANONYMOUS, "Standard on ratio studies", Assessment Journal, (1999), 1-74	

**EXAMINER****DATE CONSIDERED**

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)	<i>Complete if Known</i>	
	<b>Application Number</b>	09/685,449
	<b>Filing Date</b>	October 11, 2000
	<b>First Named Inventor</b>	Jeff Chin
	<b>Group Art Unit</b>	3696
	<b>Examiner Name</b>	Ella Colbert
Sheet 3 of 3	Attorney Docket No: 2043.166US1	

<b>OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS</b>		
Examiner Initials*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
	ELLIOTT, S R, "Innovative Rate Structures for Electrical Utility peak Load Management: An Experimental Approach", <u>University of Colorado at Boulder</u> , (1990), Page 1.	
	KETCHAM, J, et al., "A Comparison of Posted-Offer and Double-Auction Pricing Institutions", <u>The Review of Economic Studies</u> ; vol. 51, No.4, (October 1984), 595-614 Pages	
	RITTENHOUSE, R C, "Action builds on 1990 Clean Air Act compliance", <u>Power Engineering</u> v96, n5, (May 1992), 1-6 Pages	
	TIMOTHY, S V, "A model of the Perishable Inventory System with Reference to Consumer-Realized Product Expiration", <u>The journal of the Operational Research Society</u> ; Vol. 45, No.5, (May 1991), 519-528 Pages	
	WILLIAM JR., P, et al., "Toy Story", <u>Barron's</u> , v79, n52; (12/27/1999), 1-4	

---

EXAMINER

DATE CONSIDERED

---

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant is to place a check mark here if English language Translation is attached